



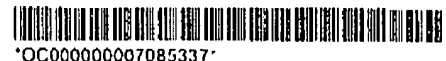
## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,382	10/09/2001	Warren Heston	1X6230

CONFIRMATION NO. 5861

## FORMALITIES LETTER



\*OC000000007085337\*

Benjamin Aaron Adler  
ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, TX 77071

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Page 2 of 2

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**BEST AVAILABLE COPY**

Applicant: Heston, W. & O'Keefe, D. Atty: BA  
Serial No: 091973182 Docket No.: 06230 Date: 11/10/02

☐ Provisional Patent Application w/cover sheet  
☒ Sequence Listing w/ Compliance Letter  
☐ Application for Patent including  
\_\_\_\_\_ pages spec. claims  
☐ Request for filing ☐ Continuation ☐ Divisional  
☐ Drawings: \_\_\_\_\_ sheets ☐ Informal ☐ Informal  
☐ Check for \$ \_\_\_\_\_  
☐ Certificate of Mailing 1.10  
Express No. \_\_\_\_\_  
☒ Certificate of Mailing 1.8  
☐ Transmittal letter  
☐ Verified Small Entity Status Form  
☒ Combined Declaration and Power of Attorney  
☐ Assignment, w/check for \$ \_\_\_\_\_

☐ Petition for Extension of Time ☐ 1 months  
☐ withcheck for \$ \_\_\_\_\_  
☒ Response to Notice to File Missing Parts  
☐ Response to Office Action ☐ RAP  
☐ Priority Document

☐ Information Disclosure Statement  
☒ PTO form 1449  
☐ Notice of Appeal  
☐ Appeal Brief \_\_\_\_\_ pages  
☐ Issue Fee Transmittal  
☐ Power of Attorney by assignee  
☐ Affidavit or ☐ Declaration ☐ Oath  
☐ Application for Intent-to-use TMSM  
☐ Application for TM/SM Reg. w/specimen

☒ Postcard ☐ Other \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK**

<b>APPLICANT:</b> Heston, W. & O'Keefe, D.	§	<b>ART UNIT:</b>
	§	
<b>FILED:</b> October 9, 2001	§	
	§	<b>EXAMINER:</b>
<b>SERIAL NO.:</b> 09/973,382	§	
	§	
<b>FOR:</b> DNA Encoding the Prostate	§	
Specific Membrane Antigen-Like	§	<b>DOCKET:</b>
Gene and Uses Thereof	§	D 6230

The Honorable Commissioner of Patents and Trademarks  
**BOX MISSING PARTS**  
Washington, DC 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

Dear Sir:

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Commissioner of Patents and Trademarks, Washington DC 20231.

- (1) Response and Amendment;
- (2) Sequence Listing, Compliance Letter, & CRF Disk;
- (3) Two (2) Combined Declarations and Powers of Attorney; and
- (2) Return Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date:

Jan 7, 2002

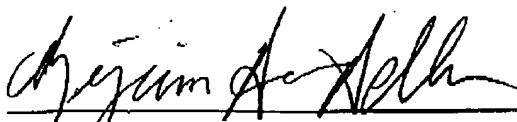
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